JC03 Rec'd PCT/PTO 27 MAY 2009

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### TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER 2271/74479

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE 4 December 2002 PCT/JP2003/015439 2 December 2003 TITLE OF INVENTION COMPACT FRONT-OPERABLE IMAGE FORMING APPARATUS Goro KATSUYAMA, Masaaki KABUMOTO, Kanae AMEMIYA, Osamu MIKI, Hideo AOKI and Akiyoshi TANAKA APPLICANT(S) FOR DO/EO/US Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). x 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). .10. 🔲 Items 11 to 20 below concern document(s) or information included: 11. x An Information Disclosure Statement under 37 CFR 1.97 and 1.98 12. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. X A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

See attached Page 3.

Other items or information:

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PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.					ATTORNEY'S DOCKET NUMBER	
10/536741 PCT/JP2003/015439					2271/74479	
The following fees have been submitted					CALCULATIONS	PTO USE ONLY
21. 🛚 Basic national fee\$300					\$ 300.00	
22. X Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 200.00	
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority. \$100 International Search Report prepared and provided to the Office. \$400 All other situations. \$500					\$ 500.00	
TOTAL OF 21, 22 and 23 =					\$1,000.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets			h additional 50 or fraction up to a whole number)	RATE		
105 - 100 = 5 /50 =			1	x \$250	\$ 250.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).					\$	
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$ .	·
Total claims		27 - 20 =	7	× \$ 50	\$ 350.00	
Independent clair		8 -3=	5	x \$200	\$ 1,000.00	
MULTIPLE DEPE	ENDENT CLAIM(S	(if applicable)		+ \$360	\$ 360.00	
TOTAL OF ABOVE CALCULATIONS =					\$ 2,960.00	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						
SUBTOTAL =					\$ 2,960.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). +					\$	
TOTAL NATIONAL FEE =					\$ 2,960.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$ 40.00	
TOTAL FEES ENCLOSED =					\$ 3,000.00	
<u>&gt;</u>					Amount to be	\$
					refunded: Amount to be	<u> </u>
					charged:	\$
a A check in the amount of \$ 3,000.00 to cover the above fees is enclosed.						
b.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No.03-3125. A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:						
Customer Number 23432 SIGNATURE					Jeng	
Ivan S. Kavrukov					- T	
Cooper & Dunham LLP Paul Ter					ng U	
1185 Avenue of the Americas						
New York, New York 10036 Tel.: (212) 278 0400					ON NUMBER	<del></del>

# 10/536741 JC06 Rec'd PCT/PTO 27 MAY 2005

Dkt. 2271/74479

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Goro KATSUYAMA, Masaaki KABUMOTO, Kanae AMEMIYA,

Osamu MIKI, Hideo AOKI and Akiyoshi TANAKA

Serial No.

Not Yet Known

Int'l Appl'n No.: PCT/JP2003/015439

Date Filed

Concurrently Herewith

Int'l Filing Date: 2 December 2003

For: COMPACT FRONT-OPERABLE IMAGE FORMING APPARATUS

## Page 3 of Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Submission Under 35 U.S.C. 371

#### 20. Other items or information:

Copies of the following--

- cover page of PCT Publication No. WO 2004/050373 A1;
- PCT Request;
- Notification Concerning Submission Or Transmittal Of Priority Document;
- Notification Of Transmittal Of The International Search Report Or The Declaration;
- PCT Demand Under Chapter II;
- Written Opinion Of The International Preliminary Examining Authority; and
- Notification Of Transmittal Of International Preliminary Report On Patentability.

JC06 Rec'd F PTO 27 MAY 2005
Dkt. 2271/74479

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Goro KATSUYAMA, Masaaki KABUMOTO, Kanae AMEMIYA,

Osamu MIKI, Hideo AOKI and Akiyoshi TANAKA

Serial No.

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For:

COMPACT FRONT-OPERABLE IMAGE FORMING APPARATUS

#### **Mail Stop PCT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 ATTN: DO/EO/US

Sir:

#### EXPRESS MAIL LETTER OF TRANSMITTAL

Express Mail mailing label number: EV487074963US

Date of Deposit: May 27, 2005

I hereby certify that the above-identified new application, consisting of three pages of the Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371 (in duplicate), a copy of the International Application as filed [including 56-page specification with claims 1-27, Abstract and 49 sheets of formal drawings (Figs. 1-54)], Declaration And Power Of Attorney (5 pages), Assignment and Recordation Cover Sheet (4 pages total), Information Disclosure Statement (including Form PTO-1449 and copies of references), cover page of PCT Publication No. WO 2004/050373 A1, PCT Request, Notification Concerning Submission Or Transmittal Of Priority Document, Notification Of Transmittal Of The International Search Report Or The Declaration, PCT Demand Under Chapter II, Written Opinion Of The International Preliminary Examining Authority, Notification Of Transmittal Of International Preliminary Report On Patent Ability, a check in the amount of \$3,000.00 and return stamped postcard, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, ATTN: DO/EO/US.

Mail Room Employee

Depositing Express Mail Material

Attorneys for Applicants: IVAN S. KAVRUKOV, Reg. No. 25,161 PAUL TENG, Reg. No. 40,837 Cooper & Dunham LLP 1185 Avenue of the Americas New York, N.Y. 10036

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